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 United States Patent and Trademark Office  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674,109	Anita Diu Hercend	146 1353

INTERNATIONAL APPLICATION NO.
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PCT/EP99/02722

I.A. FILING DATE	PRIORITY DATE
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04/22/1999

04/24/1998

020311

BIERMAN MUSERLIAN AND LUCAS  
 600 THIRD AVENUE  
 NEW YORK, NY 10016

RECEIVED  
 FEB - 7 2002

BIERMAN, MUSERLIAN AND LUCAS

CONFIRMATION NO. 3121

371 FORMALITIES LETTER



\*OC00000007396415\*

Date Mailed: 02/01/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

April 1, 2002 (2)  
 May 1, 2002 (2) + 1  
 June 1, 2002 (2) + 2  
 July 1, 2002 (2) + 3  
 Aug 1, 2002 (2) + 4

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

\* Seq Listing

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 305-3734

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO.
09/674,109	PCT/EP99/02722	146 1353



146.1353

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
ANITA DIU-HERCEND et al :  
Serial No.: 09/674,109 :  
Filed: October 24, 2000 :  
For: METHOD...FROM S. CEREVISIAE :

600 Third Avenue  
New York N.Y. 10016  
February 8, 2002

RESPONSE

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the informalities letter dated February 1, 2002, Applicants wish to call to the Patent Office's attention that the filing of the application was completed on June 21, 2001 at which time the executed declaration was filed as can be seen from the copy of the return receipt card submitted herewith. With respect to the sequence listing, a paper copy of the sequence listing was filed with the application as can be seen from the copy of the return receipt card filed herewith and the computer readable diskette was filed on November 22, 2000 as can be seen from the copy of the return receipt card submitted herewith. Therefore, the

02.11.02  
JC10 Rec'd PCT/PTO 08 FEB 2002

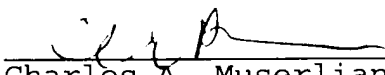
EV048709  
FEB 8 2002  
U.S. PATENT & TRADEMARK OFFICE  
WASHINGTON, D.C.

FEB 8 2002  
D. Holmberg  
U.S. PATENT & TRADEMARK OFFICE  
WASHINGTON, D.C.

filing of the application is believed to be complete and a copy of the said notice is enclosed herewith. It is requested that the application be forwarded to the group for examination in due course.

Respectfully submitted,  
Bierman, Muserlian and Lucas

By:

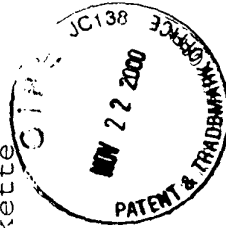
  
Charles A. Muserlian #19,683  
Attorney for Applicants  
Tel.# (212) 661-8000

CAM:ds  
Enclosures

146.1353 November 22, 2000 CAM:ds

In re Application of: ANITA DIU-HERCEND et al  
Serial No. : 674,109  
Filed: Oct. 24, 2000  
For: METHOD...FROM S. CEREVISIAE

Received: Letter (1 page)  
Sequence Listing diskette



146.1353

CAM:sd

October 24, 2000

In re Application of:

PCT/EP99/02722

PCT Date: April 22, 2000

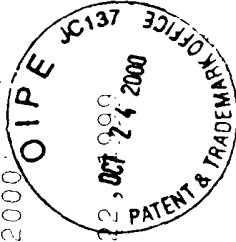
Serial No.: 09/674109

Filed: Concurrently Herewith

For: METHOD...FROM S. CEREVISIAE

Received: PCT Transmittal Forms in duplicate; Copy of International Appln. in English; Unexamined PCT Declaration; Preliminary Amendment; Amended Sheets 1, 2 and 3; International Preliminary Examination Report; Paper Copy of Sequence Listing; PCT/PO/101 PTO Form 2038; Certificate of Express Mail

414 Rec'd PCT/PTO 24 OCT 2000

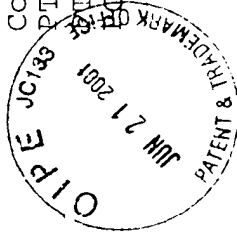


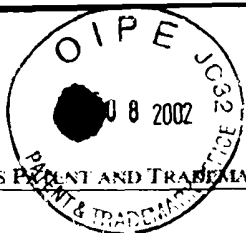
JC17 Rec'd PCT/PTO 21 JUN 2001  
June 21, 2001 CAM:ds

146.1353

In re Application of: A. DIU-HERCEND et al  
Serial No.: 09/674,109  
Filed: October 24, 2000  
For: METHOD...S.CREVISIAE

Received: Completion of application ltr  
Executed PCT Declaration (3 pgs)  
Copy of notice from PTO (2 pages)  
PTO Form-0238 (1 page)  
PTO Form-1619A (3 pages)  
PCT Assignment (2 pages)





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04/22/1999	04/24/1998

CONFIRMATION NO. 3121  
371 WITHDRAWAL NOTICE

\*OC000000007396406\*

Date Mailed: 02/01/2002

**WITHDRAWAL OF PREVIOUSLY SENT NOTICE**

The Acceptance Notice mailed on 04/26/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the enclosed Notice. We apologize for any inconvenience this caused.

CHARITTA A BURT

Telephone: (703) 305-3734

PART 3 - OFFICE COPY